

12/31/01

12784 U.S. PTO

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PTO/SB/05 (08-00)

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	H052617.1149US0
	First Named Inventor or Application identifier	DANIEL T. THOMPSON
ADDRESS TO: Assistant Commissioner of Patents Box Patent Application Washington, DC 20231	Title	COMPUTER SERVER HOT PLUG FAN TRAY ASSEMBLY AND METHOD OF FAN REMOVAL
	Express Mail No.	EL827177745US

 12/31/01  
 10/038799  
 12784 U.S. PTO
**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17(submit in duplicate)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 9]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 4]
5. ☐ Oath or Declaration [Total Pages: ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with No. 17 completed)  
[Note No. 6 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in  
the prior application. see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if No. 5b is  
checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under No. 5b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
8. ☐ Application Data Sheet. See 37 CFR 1.76

9. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure  
Statement (IDS)/PTO-1449  
\_\_\_ Copies of IDS Citations
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (Itemized)
17. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
18. ☐ Other: \_\_\_\_\_

19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

- ☐ Continuation  
☐ Divisional  
☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ /  
 Prior Application Information:  
 Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**20. Correspondence Address**

- ☒ Customer Number or Bar Code Label



01200

PATENT TRADEMARK OFFICE

01200

(Insert Customer No. or Attach bar code label here)

Date:

12/31/01

David R. Clonts, Reg. No. 36,768

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Examiner Name

Group / Art Unit

December 31, 2001

DANIEL T. THOMPSON

Total Amount of Payment

\$740.00

Attorney Docket No.

H052617.1149US0

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account No.: 16-2435  
Deposit Account Name  
Akin, Gump, Strauss, Hauer & Feld, L.L.P.  
☒ Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed: ☐ Check  
☐ Money Order  
☐ Credit Card  
☐ Other

**FEE CALCULATION****1. Basic Filing Fee**

Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	\$740	201	\$370	Utility Filing Fee	\$ 740
106	\$330	206	\$165	Design Filing Fee	\$
107	\$510	207	\$255	Plant Filing Fee	\$
108	\$740	208	\$370	Reissue Filing Fee	\$
114	\$160	214	\$ 80	Provisional Filing Fee	\$
<b>Subtotal (1)</b>					<b>\$740.00</b>

**2. Extra Claim Fees**

Claims	Extra	Fee (below)	Fee Paid
Total	12 - 20** = 0	x \$	= \$
Indep.	2 - 3** = 0	x \$	= \$
Multiple Dependent			\$

\*\*or number previously paid, if greater.

For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
\$ 18	\$ 9	Claims in excess of 20
\$ 84	\$ 42	Independent claims in excess of 3
\$ 280	\$ 140	Multiple dependent claim, if not paid
\$ 84	\$ 42	**Reissue independent claims over original patent
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent
<b>Subtotal (2)</b>		<b>\$0.00</b>

**FEE CALCULATION (continued)****3. Additional Fees**

Large Fee Code	Large Entity	Small Fee Code	Small Entity	Fee Description	Fee Paid
105	\$ 130	205	\$ 65	Surcharge - late fee or oath	\$
127	\$ 50	227	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
147	\$2,520	147	\$2,520	Request for Reexamination	\$
112	\$920*	112	\$920*	Requesting publication of SIF prior to Examiner action	\$
113	\$1840*	113	\$1840*	Requesting publication of SIF after Examiner action	\$
115	\$ 110	215	\$ 55	Extension for reply within first month	\$
116	\$ 400	216	\$ 200	Extension for reply within second month	\$
117	\$ 920	217	\$ 460	Extension for reply within third month	\$
118	\$1,440	218	\$ 720	Extension for reply within fourth month	\$
128	\$1,960	228	\$ 980	Extension for reply within fifth month	\$
119	\$ 320	219	\$ 160	Notice of Appeal	\$
120	\$ 320	220	\$ 160	Filing a brief in support of an appeal	\$
121	\$ 280	221	\$ 140	Request for oral hearing	\$
140	\$ 110	240	\$ 55	Petition to revive - unavoidable	\$
141	\$1,280	241	\$ 640	Petition to revive - unintentional	\$
142	\$1,280	242	\$ 640	Utility issue fee (or reissue)	\$
143	\$ 460	243	\$ 230	Design issue fee	\$
144	\$ 620	244	\$ 310	Plant issue fee	\$
122	\$ 130	122	\$ 130	Petitions to the Commissioner	\$
123	\$ 50	123	\$ 50	Petitions related to provisional applications	\$
126	\$ 180	126	\$ 180	Submission of Information Disclosure Statement	\$
581	\$ 40	581	\$ 40	Recording each patent assignment per property (times number of properties)	\$
146	\$ 740	246	\$ 370	Filing a submission after final rejection (37 CFR 1.129(a))	\$
179	\$ 740	279	\$ 370	Request for Continued Examination (RCE)	\$
169	\$ 900	169	\$ 900	Request for expedited examination of a design application	\$

Other fee (specify):

Other fee (specify):

\* Reduced by Basic Filing Fee Paid

**Subtotal (3)****\$0.00**

Submitted by

Date:

12/31/01

David R. Clonts, Reg. No. 36,768